

Roll No.

Total No. of Pages : 02

Total No. of Questions : 09

M.Com. (Professional) (2013 Batch) (SPL) (Sem.-4)

## SECURITIES MARKET OPERATIONS

Subject Code : MCOPFA-405

M.Code : 72497

Time : 3 Hrs.

Max. Marks : 80

### INSTRUCTION TO CANDIDATES :

1. SECTIONS-A, B, C & D contains TWO questions each carrying FIFTEEN marks each and students has to attempt any ONE question from each SECTION.
2. SECTION-E is COMPULSORY consisting of TEN questions carrying TWENTY marks in all.

### SECTION-A

Q1. Give the overview of Indian Securities Market. Also discuss its functions.

Q2. Discuss the role of Merchant bankers in detail. How merchant bankers are appointment?

### SECTION-B

Q3. Illustrate the different types of settlements at Bombay stock exchange.

Q4. What is NEAT system of options? Discuss the various surveillance systems in NSE.

### SECTION-C

Q5. Discuss the SEBI guidelines related to the functioning of the new issue market.

Q6. Explain the provision of securities contract regulation Act.

### SECTION-D

Q7. Security market indicators are so important for the investors. Elaborate the statement.

Q8. Discuss the functioning of OTC and procedure of listing on OTC.

## SECTION-E

Q9. Answer briefly :

- a) Role of Underwrites
- b) Indian Securities Market
- c) Bombay Stock Exchange
- d) Fund Settlement
- e) Investor Protection
- f) Commodity Market
- g) Trading on OTC
- h) Physical Settlement
- i) Circuit Filters
- j) Short Delivery

NOTE : Disclosure of Identity by writing Mobile No. or Making of passing request on any page of Answer Sheet will lead to UMC against the Student.