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Total No. of Pages : 02

Total No. of Questions : 09

BBA (Sem.-5)
FINANCIAL MARKETS AND SERVICES

Subject Code : BBA-522-18

M.Code : 78199

Date of Examination : 19-12-2022

Time : 3 Hrs.

Max. Marks : 60

INSTRUCTIONS TO CANDIDATES :

1. **SECTION-A is COMPULSORY** consisting of TEN questions carrying TWO marks each.
2. **SECTIONS-B** consists of FOUR Sub-sections : Units-I, II, III & IV.
3. Each Sub-section contains TWO questions each, carrying TEN marks each.
4. Student has to attempt any ONE question from each Sub-section.

SECTION-A

1. Write briefly :

- a) Capital Market
- b) Secondary Market
- c) Gilt Edged Securities
- d) Commercial Papers
- e) Bill Discounting
- f) Stock Broking
- g) CDSL
- h) Asset Management Company
- i) Venture Capital
- j) Rolling Settlement

SECTION-B

UNIT-I

2. *‘Financial system channelizes the idle financial resources with surplus units towards the deficit units so that capital formation and economic growth can take place’*. Elucidate with suitable examples.
3. What is primary market? Write a detailed note on the role and functions of primary market in economic development. Also briefly discuss various guidelines issued by SEBI regarding issue of securities by companies in primary market.

UNIT-II

4. What is money market? Write a detailed note on various securities issued by Government and companies in India, which are permitted to trade in the Indian money market.
5. Write a detailed note on various types of equity and preference shares that are allowed to be issued by public limited companies in India. Also briefly discuss various advantages of listing of securities on stock exchanges.

UNIT-III

6. What is hire purchase? Differentiate between lease financing and hire purchase. Also briefly discuss the advantages of hire purchase as a financial service.
7. What is credit rating? Briefly discuss the purpose, advantages and limitations of credit rating services in India.

UNIT-IV

8. Define mutual fund. Write a detailed note on how investment in mutual fund schemes facilitates retail investors in achieving their financial goals.
9. What do you mean by dematerialization of securities? Briefly discuss the process of dematerialization of securities. Also discuss the advantages of dematerialization of securities.

NOTE : Disclosure of Identity by writing Mobile No. or Marking of passing request on any paper of Answer Sheet will lead to UMC against the Student.